



Express Mailing Label No. EV 629 667 375 US

PATENT APPLICATION

Docket No: 14321.55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
Koichi Takiguchi, et al.)
)
Serial No.: 10/614,637) Art Unit
) 2874
Filed: July 7, 2003)
)
Confirmation No.: 7656)
)
For: CHARACTERISTIC ADJUSTMENT METHOD OF)
MULTISTAGE MACH-ZEHNDER INTERFEROMETER)
TYPE OPTICAL CIRCUIT AND MULTISTAGE)
MACH-ZEHNDER INTERFEROMETER TYPE)
OPTICAL CIRCUIT)
)
Examiner: Sung H. Pak)

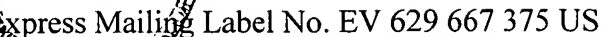
CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that following documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Box: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5th day of July 2005.

- Transmittal of Issue Fee (2 pages) (in duplicate)
- PTO Form PTOL-85B transmitting payment of Issue Fee and Publication Fee
- Comments on Statement of Reasons for Allowance (2 pages)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$1,745
- Postcard

Respectfully submitted,

DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246
Customer No. 022913
Telephone No. 801.533.9800



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Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

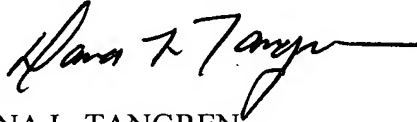
Applicant respectfully disagrees in part with the Examiner's Statement of Reasons for Allowance as set forth in the communication mailed on February 25, 2005. The Applicant concurs with the Examiner's conclusion that the prior art does not suggest or render obvious the claimed invention.

However, Applicant respectfully submits that the claimed invention as set forth in each of the independent claims and the dependent claims must be read as a whole, and not as a single feature or subcombination of features which represent less than the entirety of the claimed invention as a whole. While a particular feature or subcombination of features referred to by the Examiner in the Statement of Reasons for Allowance may represent a basis for distinguishing the claimed invention over the prior art, Applicant submits that this may not necessarily be the *sole* ground for

distinguishing the claimed invention over the prior art of record. Accordingly, the Examiner's statement should, in Applicants' view, not be read as constituting or meaning that the invention can or should be reduced to a single "feature" of the invention or to a subcombination of features that is less than the entire invention claimed as a whole, nor that the single feature referenced by the Examiner or subcombination of features referenced by the Examiner in the Statement of Reasons for Allowance is the only or sole grounds for distinguishing the invention over the prior art of record.

Dated this 5th day of July 2005.

Respectfully submitted,



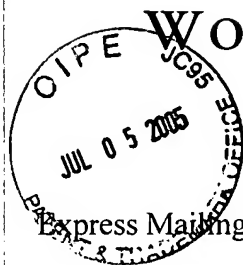
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TRANSMITTAL OF ISSUE FEE PAYMENT

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Allowance and Fee(s) Transmittal dated May 11, 2005, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above identified patent application:

- ☒ Fee(s) Transmittal (PTOL-85) submitted pursuant to 37 C.F.R. § 1.311.
- ☐ A Supplemental Declaration executed by the inventor(s) is submitted pursuant to 37 C.F.R. § 1.67.
- ☒ A Certificate of Express Mail Under 37 C.F.R. § 1.10 is enclosed.

X Comments on Statement of Reasons For Allowance.

— Pursuant to the request for submission of formal drawings, enclosed are the following:

— A Letter to the Official Draftsperson;

— A set of _____ () sheets of formal drawings to replace the corresponding drawings originally filed with the subject application.

— A duplicate set of the _____ () sheets of formal drawings with the changes therein highlighted in red.

X Form PTO-2038 submitting Credit Card Payment in the amount of \$1,745.00 is enclosed to cover:

X The \$1,400.00 government issue fee pursuant to 37 C.F.R. § 1.18.

X The \$300.00 government publication fee pursuant to 37 C.F.R. § 1.18.(d).

X The \$45.00 fee for fifteen (15) copies of the patent when issued.

X The Commission is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3178.

X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 5th day of July 2005.

Respectfully submitted,



DANA L. TANGREN
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Registration No. 37,246
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